

25 Metro Drive
Suite 700

San Jose,
California 95110

T: 512-794-3600
F: 512-794-3601

Newport Beach, CA
San Francisco, CA
Austin, TX

skjerven morrill
macpherson LLP

Docket No.: SP-2628-1C US

Box Non-Fee Amendment
Commissioner For Patents
Washington, D.C. 20231



February 21, 2002

Re: Applicant(s): Jeffrey Meng Wah Chan, Marc Tremblay
Assignee: Sun Microsystems Inc.
Title: Execution Of Instructions That Lock And Unlock Computer
Resources
Serial No.: 09/941,142
Examiner: Unassigned
Docket No.: SP-2628-1C US Filed: August 28, 2001
Group Art Unit: 2154

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

(1) Return Receipt Postcard;
 (2) This Transmittal Letter (in duplicate); and
 (3) Preliminary Amendment (8 pages);
 No additional fee is required.
 The fee has been calculated as shown below:

RECEIVED

MAR 01 2002

Technology Center 2100

CLAIMS AS AMENDED

	Claims Remaining <u>After Amendment</u>		Highest No. <u>Previously Paid For</u>		Present <u>Extra</u>		Rate		Additional Fee
Total Claims	19	Minus	19	=	0	x	\$18.00	\$	0.00
Independent Claims	3	Minus	3	=	0	x	\$84.00	\$	0.00
<input type="checkbox"/> Fee of _____ for the first filing of one or more multiple dependent claims per application									
<input type="checkbox"/> Fee for Request for Extension of Time									

Total additional fee for this Amendment: \$ 0.00

Conditional Petition for Extension of Time: If an extension of time is required for timely
filing of the enclosed document(s) after all papers filed with this transmittal have been
considered, an extension of time is hereby requested.
 Please charge our Deposit Account No. 19-2386 in the amount of \$ 0.00
 Also, charge any additional fees required and credit any overpayment to our Deposit
Account No. 19-2386.

Total: \$ 0.00

EXPRESS MAIL LABEL NO:

EL764881576US

Respectfully submitted,

D'Ann Naylor Rifai
Attorney for Applicant(s)
Reg. No. 47,026



PATENT

16
3-14

Applicant(s): Jeffrey Meng Wah Chan, Marc Tremblay

Assignee: Sun Microsystems, Inc.

Title: Execution of Instructions that Lock and Unlock Computer Resources

Serial No.: 09/941,142 Filing Date: August 28, 2001

Examiner: Unknown Group Art Unit: 2154

Docket No.: SP-2628-1C US Client Ref. No. P2628-1C

San Jose, California
February 21, 2002

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

RECEIVED

PRELIMINARY AMENDMENT

MAR 01 2002

Technology Center 2100

Dear Sir:

The following Amendments and Remarks are submitted for entry into the application filed on August 28, 2001, Serial Number 09/941,142. This Preliminary Amendment is submitted prior to the first Office Action on the merits in the above-captioned case. Entry of this amendment is respectfully requested.

AMENDMENTS

In the Specification

Please add the following paragraph as the first paragraph of the application:

This application is a continuation of application serial number 09/204,760, entitled "Partially Executing a Pending Atomic Instruction to Unlock Resources when Cancellation of the Instruction Occurs", filed on December 2, 1998, subsequently issuing as U.S. Patent No. 6,282,637 B1.